

CMSC 424 – Database design  
Lecture 16  
Query processing

Mihai Pop

# Admin issues

- Questions about midterm?
- Questions about project?

# Sample midterm questions

- Do I need to know about: 4NF, multivalued dependencies? - NO
- 1. Given the schema  $R(A,B,C,D,E)$ , and functional dependencies  $A \rightarrow D$ ,  $B \rightarrow C$ ,  $CD \rightarrow E$ ,  $A \rightarrow BC$ ,  $E \rightarrow B$ .
  - a) Is the schema in BCNF? If not, list an FD that violates BCNF.
  - b) Is the schema in 3NF? If not, list an FD that violates 3NF.
- 
- Decompose the schema from problem 1 into BCNF and 3NF.

# Oracle: explain plan

```
delete plan_table;  
explain plan for  
select name  
from country  
where population > 10000000 ;
```

*Explained*

```
select  
  substr(lpad(' ', level - 1) || operation || ' (' || options || ')', 1, 30) "Operation",  
  object_name "Object"  
from  
  plan_table  
start with id = 0  
connect by prior id = parent_id;
```

*Operation*                      *Object*

-----  
*SELECT STATEMENT ()*

*TABLE ACCESS (FULL)*

*COUNTRY*

# How to think about query processing

- $n(r)$ ,  $b(r)$ ,  $f(r)$ ,  $V(A, r)$ ,  $SC(A, r)$  – values that can be computed without knowing what query you might run
- Think about how many results your query might retrieve
- Think about how they are organized on disk:
  - sorted (A is a clustering index)
  - unsorted (A is a secondary index)
- Think about how the index is organized – how many index blocks you need to hit to find the correct answer?
- Usually think of either average or worst-case scenarios.
- When retrieving range – think about what fraction that range represents from the total range of values in database.

# Selection / Projection File Scan

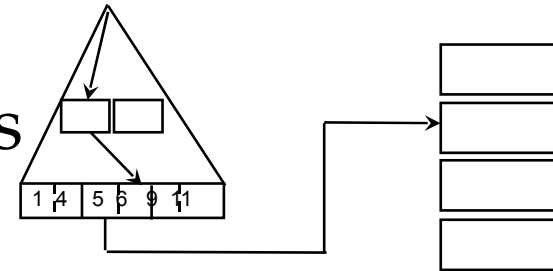
- A1: search for equality:  $R.A=c$  cost (seq. search rel. sorted)
  - =  $b(r)/2 + \lceil SC(A,r)/f(r) \rceil - 1$       average      successful
  - =  $b(r)/2$       average      unsuccessful
- A2: (binary search)
  - =  $\lceil \log b(r) \rceil + \lceil SC(A,r)/f(r) \rceil - 1$       average      successful
- Size of the result:  $n(\sigma(R.A=c)) = SC(A,r) = n(r) / V(A,r)$
- search for inequality:  $R.A > c$ 
  - cost (file unsorted) =  $b(r)$ 
    - (sorted on A) =  $b(r)/2 + b(r)/2$  (if we assume that half of the tuples qualify)
  - size of the result:  $n(\sigma(R.A > c)) = [\max(A,r) - c] * n(r) / [\max(A,r) - \min(A,r)]$
- projection on A
  - cost as above
  - size of the result:  $n(\pi(R,A)) = V(A,r)$

# Selection with Indexed Scan $R.A=c$

- A3: Primary index on key:

- cost = (height + 1) + 1

height+1 is needed to get to the leaves  
(unsuccessful stops at the leaves)



- A4: Primary (clustering) index on non-key:

- cost = (height + 1) + 1 +  $\lceil SC(A,r)/f(r) \rceil$

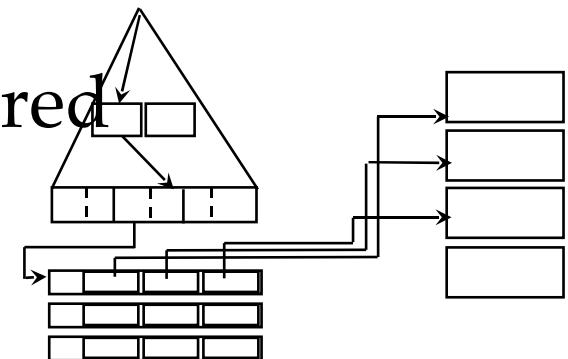
all tuples with the same value are clustered

- A5: Secondary (non-clustering) index

- cost = (height + 1) + 1 +  $SC(A,r)$

tuples with the same value are scattered

- It can be very expensive

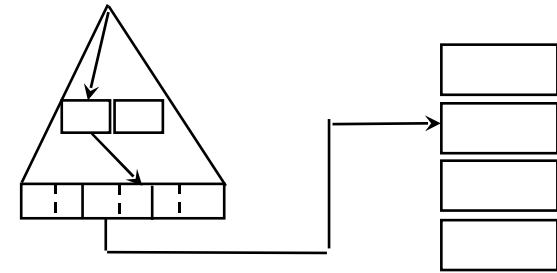


- size of the result:  $n(\sigma(R.A=c)) = SC(A,r) = n(r) / V(A,r)$

# Selection with Indexed Scan $R.A \geq c$

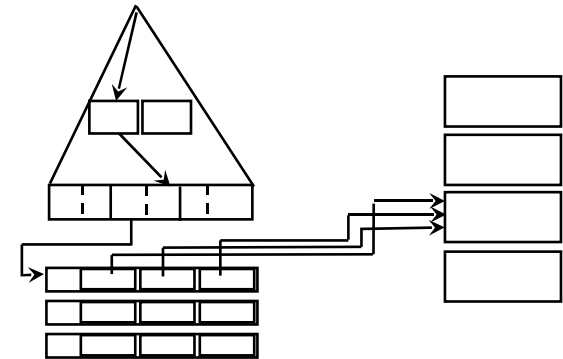
## A6: Primary index on key:

- search for  $A=c$ ; then pick tuples with  $A \geq c$
- cost = (height + 1) +  $b(r)/2$  w/o a bound constant  $c$
- =  $\frac{b(r)}{2} + n(r) \frac{(\max(A,r)-c)}{(\max(A,r)-\min(A,r))} / f(r)$



## • Primary (clustering) index on non-key:

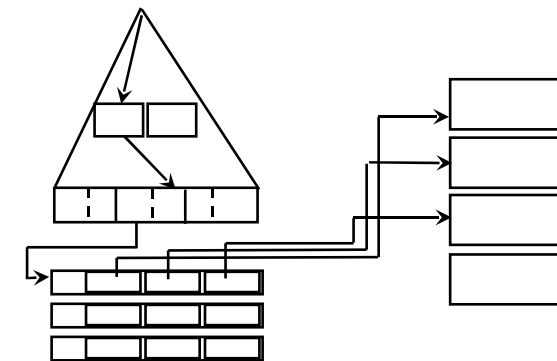
- cost = as above (all tuples with the same value are clustered)



## A7: Secondary (non-clustering) index

- cost = (height + 1) + B-treeLeaves/2 +  $n(r)/2$  or
- =  $\frac{b(r)}{2} + \frac{n(r)}{2} +$
- $\frac{n(r)}{2} + \{1 + SC(A,r)\} \frac{(\max(A,r)-c)}{(\max(A,r)-\min(A,r))} / f(r)$

tuples with the same value are scattered  
can be more expensive than file scan



- size of the result:

$$n(\sigma(R.A > c)) = \frac{[\max(A,r)-c] * n(r)}{[\max(A,r) - \min(A,r)]}$$