

CMSC 424 – Database design  
Lecture 9  
Normalization

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# Administrative

- SQL assignment questions – Sharath
- Project – please pair up – submit pairs by Monday, March 4.
- For midterm – chapters 1-4, 6
- Anything you'd like me to go over now?

# Accessing databases from software

- Embedded SQL (special commands within C, Java, etc. code)

## SQL APIs

- ODBC
- JDBC
- Perl::DBI
- Ruby on Rails

## Basic protocol

- connect to server
- run SQL commands – tuples returned as cursors/iterators (allows you iterate over each tuple in result table)
- disconnect from server
  
- Read chapter 4!!! You'll need this for project.

# SQL...last thoughts

- You learn best through practice
- Every database system is different (syntax, conventions, etc.)
- **READ THE REFERENCE MANUALS!**

# Relational Database Design

Where did we come up with the *schema* that we used ?

E.g. why not store the actor names with movies ?

Or, store the author names with the papers ?

Topics:

Formal definition of what it means to be a “good” schema.

How to achieve it.

# Movies Database Schema

Movie(title, year, length, inColor, studioName, producerC#)

StarsIn(movieTitle, movieYear, starName)

MovieStar(name, address, gender, birthdate)

MovieExec(name, address, cert#, netWorth)

Studio(name, address, presC#)

## Changed to:

Movie(title, year, length, inColor, studioName, producerC#, starName)

<merged into above>

MovieStar(name, address, gender, birthdate)

MovieExec(name, address, cert#, netWorth)

Studio(name, address, presC#)

# Example Relation

Movie(title, year, length, inColor, studioName, producerC#, starName)

<merged into above>

MovieStar(name, address, gender, birthdate)

MovieExec(name, address, cert#, netWorth)

Studio(name, address, presC#)

Title	Year	Length	StudioName	prodC#	StarName
Star wars	1977	120	Fox	128	Hamill
Star wars	1977	120	Fox	128	Fisher
Star wars	1977	120	Fox	128	H. Ford
King Kong	2005	..	Studio_A	150	Naomi
King Kong	1940	..	Studio_B	20	Faye

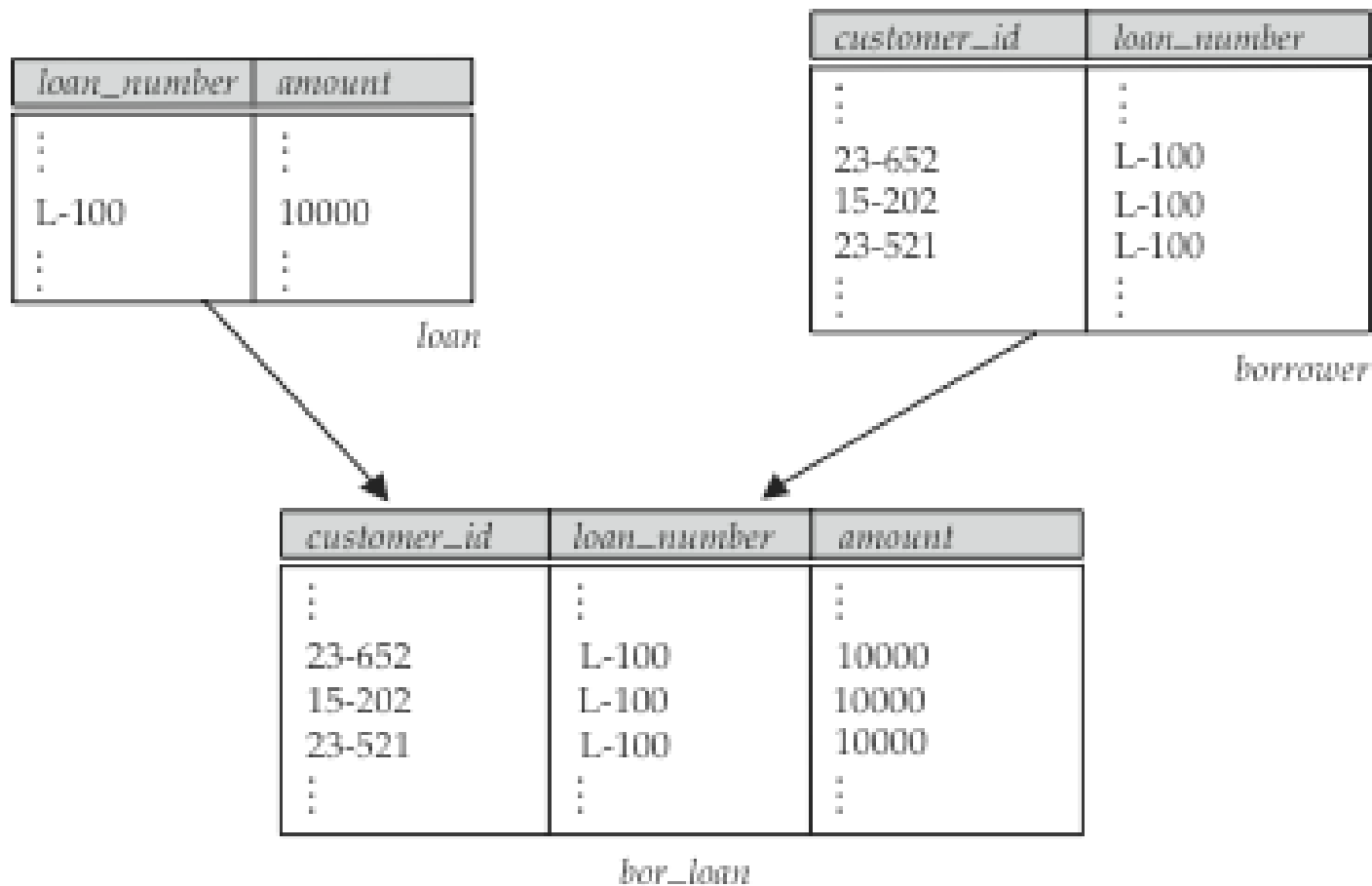
# What we're looking for in a schema

- Low/no redundancy
- Easy to understand structure
- Easy to write queries
- Efficient to answer queries
- Ease of maintaining integrity of the data
  
- Difficult to do this “by hand”
  
- Normalization – formal algorithms for creating a “reasonable” schema



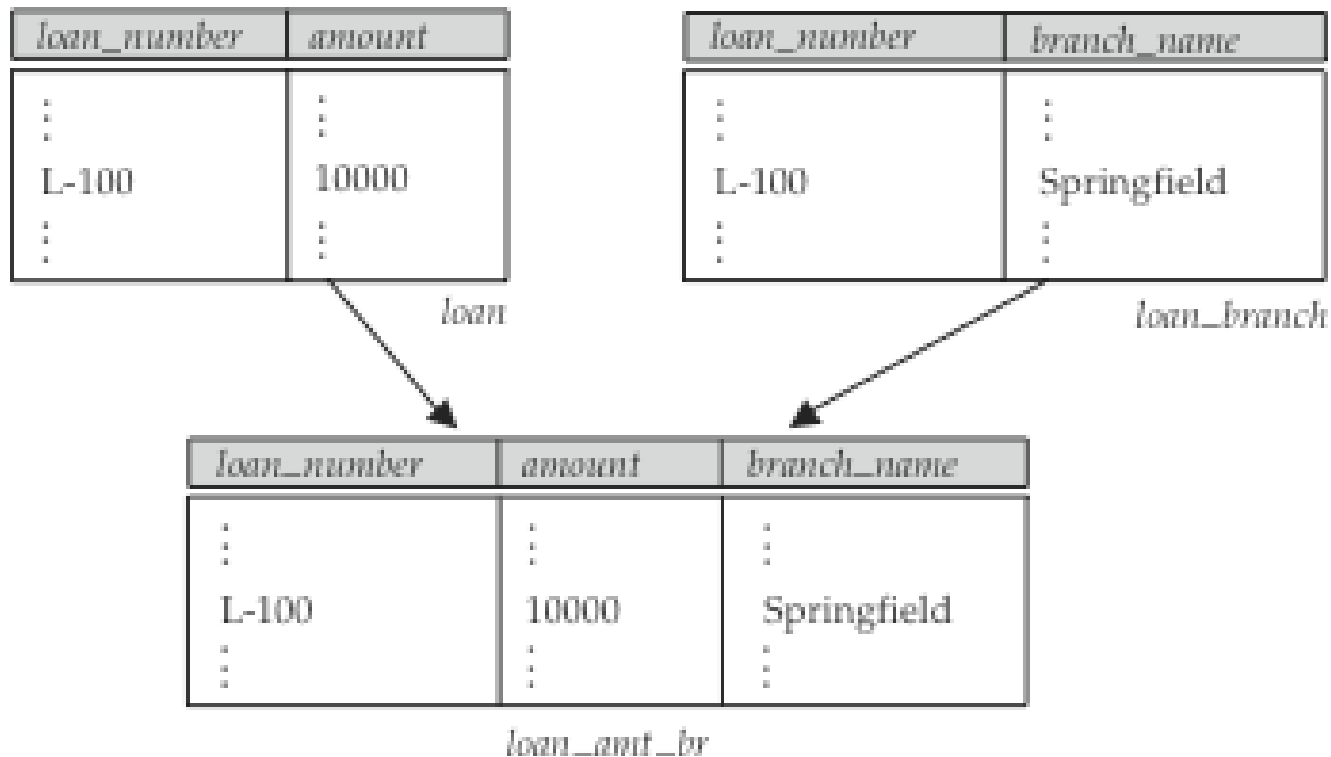
# Combine Schemas?

- Suppose we combine *borrow* and *loan* to get  $bor\_loan = (customer\_id, loan\_number, amount)$
- Result is possible repetition of information (L-100 in example below)



# A Combined Schema Without Repetition

- Consider combining *loan\_branch* and *loan*
  - $loan\_amt\_br = (loan\_number, amount, branch\_name)$
- No repetition (as suggested by example below)



# What About Smaller Schemas?

- Suppose we had started with *bor\_loan*. How would we know to split up (decompose) it into *borrower* and *loan*?
- Write a rule “if there were a schema (*loan\_number*, *amount*), then *loan\_number* would be a candidate key”
- Denote as a functional dependency:  
*loan\_number*  $\twoheadrightarrow$  *amount*

# Functional Dependencies

- set of attributes whose values uniquely determine the values of the remaining attributes e.g. a key defines an FD:

e.g. in EMP(eno,ename,sal)

DEPT(dno,dname,floor)

WORKS-IN(eno,dno,hours)

key FDs: eno  $\rightarrow$  ename

eno  $\rightarrow$  sal

other FDs: {eno,dno}  $\rightarrow$  hours

for every pair of values of eno,dno there exists exactly one value for hours

- in general if  $\alpha \subseteq R$  and  $\beta \subseteq R$ , then  $\alpha \rightarrow \beta$  holds in the extension  $r(R)$  of  $R$  iff for any pair  $t_1$  and  $t_2$  tuples of  $r(R)$  such that  $t_1(\alpha) = t_2(\alpha)$ , then it is also true that  $t_1(\beta) = t_2(\beta)$  (uniqueness of  $\beta$  values)

- we can use the FDs as
  - constraints that we want to enforce (e.g. keys)
  - for checking if the FDs are satisfied in the database

A  $\rightarrow$  B satisfied? no

A  $\rightarrow$  C -"- yes

C  $\rightarrow$  A -"- no

AB  $\rightarrow$  > D -"- yes

R(A B C D)

1 1 1 1

1 2 1 2

2 2 2 2

2 3 2 3

3 3 2 4

# FDs continued

- trivial dependencies:  $\alpha \rightarrow \alpha$   
 $\alpha \rightarrow \beta$  if  $\beta \subseteq \alpha$
- closure
  - need all FDs
  - some logically implied by others e.g. if  $A \rightarrow B$  &  $B \rightarrow C$  then  $A \rightarrow C$  is implied
- given  $F$  = set of FDs, find  $F^+$  (the closure) of all logically implied by  $F$

## Amstrong's axioms

- reflexivity: if  $\beta \subseteq \alpha$  then  $\alpha \rightarrow \beta$  (trivial FD)
- augmentation: if  $\alpha \rightarrow \beta$  then  $\gamma\alpha \rightarrow \gamma\beta$
- transitivity: if  $\alpha \rightarrow \beta$  &  $\beta \rightarrow \gamma$  then  $\alpha \rightarrow \gamma$

# More FD Rules

- union rule: if  $\alpha \rightarrow \beta$  &  $\alpha \rightarrow \gamma$  then  $\alpha \rightarrow \beta\gamma$
- decomposition rule: if  $\alpha \rightarrow \beta\gamma$  then  $\alpha \rightarrow \beta$  &  $\alpha \rightarrow \gamma$
- pseudotransitivity rule: if  $\alpha \rightarrow \beta$  &  $\gamma\beta \rightarrow \delta$  then  $\alpha\gamma \rightarrow \delta$

Example: R(A,B,C,G,H,I)

F={ A → B  
 A → C  
 CG → H  
 CG → I  
 B → H }

F+= { A → H /\* A → B → H transitivity  
 CG → HI /\* CG → H, CG → I union rule  
 AG → I /\* A → C augmentation AG → CG → I  
 AG → H } /\* CG → H

- there is a non-trivial (exponential) algorithm for computing F+

# Closure of Attribute Sets

- useful to find if a set of attributes is a superkey
- the closure  $\alpha^+$  of a set of attributes  $\alpha$  under  $F$  is the set of all attributes that are functionally determined by  $\alpha$
- there is an algorithm that computes the closure

Example:

**Algorithm to Compute  $(AG)^+$**

<b>start with</b>		<b>result=(AG)</b>
<b>A <math>\rightarrow</math> B</b>	<b>expands</b>	<b>result=(AGB)</b>
<b>A <math>\rightarrow</math> C</b>	<b>expands</b>	<b>result=(AGBC)</b>
<b>CG <math>\rightarrow</math> H</b>	<b>“-”</b>	<b>result=(AGBCH)</b>
<b>CG <math>\rightarrow</math> I</b>	<b>“-”</b>	<b>result=(AGBCHI)</b>
<b>B <math>\rightarrow</math> H</b>	<b>no more expansion</b>	

Note that since G is not on any right hand side, no subset of the attributes can be a superkey unless it contains G for there is no FD to generate it.