# **Chapter 18: Parallel Databases**

#### Introduction

- Parallel machines are becoming quite common and affordable
  - Prices of microprocessors, memory and disks have dropped sharply
  - Recent desktop computers feature multiple processors and this trend is projected to accelerate
- Databases are growing increasingly large
  - large volumes of transaction data are collected and stored for later analysis.
  - multimedia objects like images are increasingly stored in databases
- Large-scale parallel database systems increasingly used for:
  - storing large volumes of data
  - processing time-consuming decision-support queries
  - providing high throughput for transaction processing

#### Parallelism in Databases

- Data can be partitioned across multiple disks for parallel I/O.
- Individual relational operations (e.g., sort, join, aggregation) can be executed in parallel
  - data can be partitioned and each processor can work independently on its own partition.
- Queries are expressed in high level language (SQL, translated to relational algebra)
  - makes parallelization easier.
- Different queries can be run in parallel with each other.
  Concurrency control takes care of conflicts.
- Thus, databases naturally lend themselves to parallelism.

#### I/O Parallelism

- Reduce the time required to retrieve relations from disk by partitioning
- The relations on multiple disks.
- Horizontal partitioning tuples of a relation are divided among many disks such that each tuple resides on one disk.
- Partitioning techniques (number of disks = n):

#### Round-robin:

Send the  $I^{th}$  tuple inserted in the relation to disk  $i \mod n$ .

#### **Hash partitioning:**

- Choose one or more attributes as the partitioning attributes.
- Choose hash function h with range 0...n 1
- Let i denote result of hash function h applied to the partitioning attribute value of a tuple. Send tuple to disk i.

### Partitioning a Relation across Disks

- If a relation contains only a few tuples which will fit into a single disk block, then assign the relation to a single disk.
- Large relations are preferably partitioned across all the available disks.
- If a relation consists of m disk blocks and there are n disks available in the system, then the relation should be allocated min(m,n) disks.

#### Interquery Parallelism

- Queries/transactions execute in parallel with one another.
- Increases transaction throughput; used primarily to scale up a transaction processing system to support a larger number of transactions per second.
- Easiest form of parallelism to support, particularly in a shared-memory parallel database, because even sequential database systems support concurrent processing.
- More complicated to implement on shared-disk or sharednothing architectures
  - Locking and logging must be coordinated by passing messages between processors.
  - Data in a local buffer may have been updated at another processor.
  - Cache-coherency has to be maintained reads and writes of data in buffer must find latest version of data.

# Cache Coherency Protocol

- Example of a cache coherency protocol for shared disk systems:
  - Before reading/writing to a page, the page must be locked in shared/exclusive mode.
  - On locking a page, the page must be read from disk
  - Before unlocking a page, the page must be written to disk if it was modified.
- More complex protocols with fewer disk reads/writes exist.
- Cache coherency protocols for shared-nothing systems are similar. Each database page is assigned a home processor. Requests to fetch the page or write it to disk are sent to the home processor.

## Intraquery Parallelism

- Execution of a single query in parallel on multiple processors/disks; important for speeding up long-running queries.
- Two complementary forms of intraquery parallelism:
  - Intraoperation Parallelism parallelize the execution of each individual operation in the query.
  - Interoperation Parallelism execute the different operations in a query expression in parallel.

the first form scales better with increasing parallelism because

the number of tuples processed by each operation is typically more than the number of operations in a query.

#### **Parallel Sort**

#### **Parallel External Sort-Merge**

- Assume the relation has already been partitioned among disks  $D_0, ..., D_{n-1}$  (in whatever manner).
- Each processor P<sub>i</sub> locally sorts the data on disk D<sub>i</sub>.
- The sorted runs on each processor are then merged to get the final sorted output.
- Parallelize the merging of sorted runs as follows:
  - The sorted partitions at each processor  $P_i$  are range-partitioned across the processors  $P_0$ , ...,  $P_{m-1}$ .
  - Each processor  $P_i$  performs a merge on the streams as they are received, to get a single sorted run.
  - The sorted runs on processors  $P_0, ..., P_{m-1}$  are concatenated to get the final result.

#### Parallel Join

- The join operation requires pairs of tuples to be tested to see if they satisfy the join condition, and if they do, the pair is added to the join output.
- Parallel join algorithms attempt to split the pairs to be tested over several processors. Each processor then computes part of the join locally.
- In a final step, the results from each processor can be collected together to produce the final result.

### **Query Optimization**

- Query optimization in parallel databases is significantly more complex than query optimization in sequential databases.
- Cost models are more complicated, since we must take into account partitioning costs and issues such as skew and resource contention.
- When scheduling execution tree in parallel system, must decide:
  - How to parallelize each operation and how many processors to use for it.
- Determining the amount of resources to allocate for each operation is a problem.
  - E.g., allocating more processors than optimal can result in high communication overhead.
- Long pipelines should be avoided as the final operation may wait a lot for inputs, while holding precious resources

### Design of Parallel Systems

Some issues in the design of parallel systems:

- Parallel loading of data from external sources is needed in order to handle large volumes of incoming data.
- Resilience to failure of some processors or disks.
  - Probability of some disk or processor failing is higher in a parallel system.
  - Operation (perhaps with degraded performance) should be possible in spite of failure.
  - Redundancy achieved by storing extra copy of every data item at another processor.

# Design of Parallel Systems (Cont.)

- On-line reorganization of data and schema changes must be supported.
  - For example, index construction on terabyte databases can take hours or days even on a parallel system.
    - Need to allow other processing (insertions/deletions/updates) to be performed on relation even as index is being constructed.
  - Basic idea: index construction tracks changes and "catches up" on changes at the end.
- Also need support for on-line repartitioning and schema changes (executed concurrently with other processing).

# Chapter 19: Distributed Databases

## Distributed Database System

- A distributed database system consists of loosely coupled sites that share no physical component
- Database systems that run on each site are independent of each other
- Transactions may access data at one or more sites

#### Homogeneous Distributed Databases

- In a homogeneous distributed database
  - All sites have identical software
  - Are aware of each other and agree to cooperate in processing user requests.
  - Each site surrenders part of its autonomy in terms of right to change schemas or software
  - Appears to user as a single system
- In a heterogeneous distributed database
  - Different sites may use different schemas and software
    - · Difference in schema is a major problem for query processing
    - Difference in software is a major problem for transaction processing
  - Sites may not be aware of each other and may provide only limited facilities for cooperation in transaction processing

### Distributed Data Storage

- Assume relational data model
- Replication
  - System maintains multiple copies of data, stored in different sites, for faster retrieval and fault tolerance.
- Fragmentation
  - Relation is partitioned into several fragments stored in distinct sites
- Replication and fragmentation can be combined
  - Relation is partitioned into several fragments: system maintains several identical replicas of each such fragment.

#### Data Replication

- A relation or fragment of a relation is replicated if it is stored redundantly in two or more sites.
- Full replication of a relation is the case where the relation is stored at all sites.
- Fully redundant databases are those in which every site contains a copy of the entire database.

# Data Replication (Cont.)

- Advantages of Replication
  - Availability: failure of site containing relation r does not result in unavailability of r is replicas exist.
  - Parallelism: queries on r may be processed by several nodes in parallel.
  - Reduced data transfer: relation r is available locally at each site containing a replica of r.
- Disadvantages of Replication
  - Increased cost of updates: each replica of relation r must be updated.
  - Increased complexity of concurrency control: concurrent updates to distinct replicas may lead to inconsistent data unless special concurrency control mechanisms are implemented.
    - One solution: choose one copy as primary copy and apply concurrency control operations on primary copy

#### Data Fragmentation

- Division of relation r into fragments  $r_1, r_2, ..., r_n$  which contain sufficient information to reconstruct relation r.
- Horizontal fragmentation: each tuple of r is assigned to one or more fragments
- Vertical fragmentation: the schema for relation r is split into several smaller schemas
  - All schemas must contain a common candidate key (or superkey) to ensure lossless join property.
  - A special attribute, the tuple-id attribute may be added to each schema to serve as a candidate key.

# Advantages of Fragmentation

#### Horizontal:

- allows parallel processing on fragments of a relation
- allows a relation to be split so that tuples are located where they are most frequently accessed

#### Vertical:

- allows tuples to be split so that each part of the tuple is stored where it is most frequently accessed
- tuple-id attribute allows efficient joining of vertical fragments
- allows parallel processing on a relation
- Vertical and horizontal fragmentation can be mixed.
  - Fragments may be successively fragmented to an arbitrary depth.

#### Data Transparency

- Data transparency: Degree to which system user may remain unaware of the details of how and where the data items are stored in a distributed system
- Consider transparency issues in relation to:
  - Fragmentation transparency
  - Replication transparency
  - Location transparency

### Naming of Data Items - Criteria

- 1. Every data item must have a system-wide unique name.
- 2. It should be possible to find the location of data items efficiently.
- 3. It should be possible to change the location of data items transparently.
- 4. Each site should be able to create new data items autonomously.

#### **Distributed Transactions**

- Transaction may access data at several sites.
- Each site has a local transaction manager responsible for:
  - Maintaining a log for recovery purposes
  - Participating in coordinating the concurrent execution of the transactions executing at that site.
- Each site has a transaction coordinator, which is responsible for:
  - Starting the execution of transactions that originate at the site.
  - Distributing subtransactions at appropriate sites for execution.
  - Coordinating the termination of each transaction that originates at the site, which may result in the transaction being committed at all sites or aborted at all sites.

#### **Commit Protocols**

- Commit protocols are used to ensure atomicity across sites
  - a transaction which executes at multiple sites must either be committed at all the sites, or aborted at all the sites.
  - not acceptable to have a transaction committed at one site and aborted at another
- The two-phase commit (2PC) protocol is widely used
- The three-phase commit (3PC) protocol is more complicated and more expensive, but avoids some drawbacks of two-phase commit protocol. This protocol is not used in practice.

## Two Phase Commit Protocol (2PC)

- Assumes fail-stop model failed sites simply stop working, and do not cause any other harm, such as sending incorrect messages to other sites.
- Execution of the protocol is initiated by the coordinator after the last step of the transaction has been reached.
- The protocol involves all the local sites at which the transaction executed
- Let T be a transaction initiated at site S<sub>i</sub>, and let the transaction coordinator at S<sub>i</sub> be C<sub>i</sub>

## Phase 1: Obtaining a Decision

- Coordinator asks all participants to **prepare** to commit transaction  $T_i$ .
  - C<sub>i</sub> adds the records prepare T> to the log and forces log to stable storage
  - sends prepare T messages to all sites at which T executed
- Upon receiving message, transaction manager at site determines if it can commit the transaction
  - if not, add a record <no T> to the log and send abort T message to C<sub>i</sub>
  - if the transaction can be committed, then:
  - add the record <ready T> to the log
  - force all records for T to stable storage
  - send ready T message to C<sub>i</sub>

### Phase 2: Recording the Decision

- T can be committed of  $C_i$  received a **ready** T message from all the participating sites: otherwise T must be aborted.
- Coordinator adds a decision record, <commit T> or <abord T>, to the log and forces record onto stable storage. Once the record stable storage it is irrevocable (even if failures occur)
- Coordinator sends a message to each participant informing it of the decision (commit or abort)
- Participants take appropriate action locally.

# Distributed Query Processing

- For centralized systems, the primary criterion for measuring the cost of a particular strategy is the number of disk accesses.
- In a distributed system, other issues must be taken into account:
  - The cost of a data transmission over the network.
  - The potential gain in performance from having several sites process parts of the query in parallel.

#### Heterogeneous Distributed Databases

- Many database applications require data from a variety of preexisting databases located in a heterogeneous collection of hardware and software platforms
- Data models may differ (hierarchical, relational, etc.)
- Transaction commit protocols may be incompatible
- Concurrency control may be based on different techniques (locking, timestamping, etc.)
- System-level details almost certainly are totally incompatible.
- A multidatabase system is a software layer on top of existing database systems, which is designed to manipulate information in heterogeneous databases
  - Creates an illusion of logical database integration without any physical database integration

## Advantages

- Preservation of investment in existing
  - hardware
  - system software
  - Applications
- Local autonomy and administrative control
- Allows use of special-purpose DBMSs
- Step towards a unified homogeneous DBMS
  - Full integration into a homogeneous DBMS faces
    - Technical difficulties and cost of conversion
    - Organizational/political difficulties
      - Organizations do not want to give up control on their data
      - Local databases wish to retain a great deal of autonomy

#### **Unified View of Data**

- Agreement on a common data model
  - Typically the relational model
- Agreement on a common conceptual schema
  - Different names for same relation/attribute
  - Same relation/attribute name means different things
- Agreement on a single representation of shared data
  - E.g., data types, precision,
  - Character sets
    - ASCII vs EBCDIC
    - Sort order variations
- Agreement on units of measure
- Variations in names
  - E.g., Köln vs Cologne, Mumbai vs Bombay

## **Query Processing**

- Several issues in query processing in a heterogeneous database
- Schema translation
  - Write a wrapper for each data source to translate data to a global schema
  - Wrappers must also translate updates on global schema to updates on local schema
- Limited query capabilities
  - Some data sources allow only restricted forms of selections
    - E.g., web forms, flat file data sources
  - Queries have to be broken up and processed partly at the source and partly at a different site
- Removal of duplicate information when sites have overlapping information
  - Decide which sites to execute query
  - Global query optimization

## **Directory Access Protocols**

- Most commonly used directory access protocol:
  - LDAP (Lightweight Directory Access Protocol)
  - Simplified from earlier X.500 protocol
- Question: Why not use database protocols like ODBC/JDBC?
- Answer:
  - Simplified protocols for a limited type of data access, evolved parallel to ODBC/JDBC
  - Provide a nice hierarchical naming mechanism similar to file system directories
    - Data can be partitioned amongst multiple servers for different parts of the hierarchy, yet give a single view to user
      - E.g., different servers for Bell Labs Murray Hill and Bell Labs Bangalore
  - Directories may use databases as storage mechanism

## LDAP: Lightweight Directory Access Protocol

- LDAP Data Model
- Data Manipulation
- Distributed Directory Trees

#### Real examples

- Vertica
- TeraData
- BigTable
- (aside on MapReduce)

http://portal.acm.org/citation.cfm?id=129894 – De Witt, Gray. CACM 1992

http://portal.acm.org/citation.cfm?id=1629197 - Stonebreaker et al. CACM 2010

http://portal.acm.org/citation.cfm?id=1629198 - Dean and Gemwhat. CACM 2010